

Securities Industry Automation Corporation 11 Wall Street, New York, NY 10005

## October 26, 2023

To: OPRA Subscribers

Subject: OPRA Processing issue

OPRA Subscribers,

On October 25, 2023, beginning at approximately 10:09:01 a.m., SIAC became aware that the input lines of a subset of OPRA Participants were disconnecting at the Local Data Center ("LDC"). SIAC attempted to resolve the issue by temporarily disabling Participant input lines at the LDC beginning at approximately 10:11 a.m. with the intent to re-enable them when the issue was resolved. The action did not resolve the issue, and SIAC then determined to failover OPRA to the Remote Data Center ("RDC"), which completed by approximately 10:39:18 a.m.

SIAC believes the root cause of the event is related to flow control parameters within the OPRA system, which were adjusted for start-of- today to prevent recurrence of the issue.

OPRA GTH was unaffected by the issue.

OPRA is operating out of the LDC for the start of day October 26, 2023.