

Securities Industry Automation Corporation 11 Wall Street, New York, NY 10005

October 19, 2023

To: OPRA Subscribers

Subject: OPRA Processing issue

OPRA Subscribers,

On October 19, 2023, beginning at approximately 12:01 PM, SIAC became aware that the input lines of a subset of OPRA Participants were disconnecting at the Local Data Center ("LDC"). At approximately 12:13 PM, SIAC determined to failover OPRA to the Remote Data Center ("RDC"), which was completed by approximately 12:19:21 PM.

OPRA GTH was unaffected by the issue.

SIAC has determined to resume operation of OPRA out of the LDC for start of day October 20, 2023.

The root cause investigation is still ongoing.